



# Annual Report of Holding Companies—FR Y-6

## Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844 (c)(1)(A)); Section 8(a) of the International Banking Act (12 U.S.C. § 3106(a)); Sections 11(a)(1), 25 and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); Section 211.13(c) of Regulation K (12 C.F.R. § 211.13(c)); and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)) and section 10(c)(2)(H) of the Home Owners' Loan Act. Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies and top-tier savings and loan holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report.

I, Peggy Scott

Name of the Holding Company Director and Official

President

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

*With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.*

*Peggy Scott*  
 3-7-16

Signature of Holding Company Director and Official

Date of Signature

For holding companies not registered with the SEC—  
 Indicate status of Annual Report to Shareholders:

- is included with the FR Y-6 report
- will be sent under separate cover
- is not prepared

For Federal Reserve Bank Use Only

RSSD ID 3640407  
 C.I. \_\_\_\_\_

Date of Report (top-tier holding company's fiscal year-end):

December 31, 2015

Month / Day / Year

None

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

Greenfield Bancorporation, Ltd.

Legal Title of Holding Company

214 S 1st Street

(Mailing Address of the Holding Company) Street / P.O. Box

<u>Greenfield</u>	<u>Iowa</u>	<u>52565-0130</u>
City	State	Zip Code

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

Greg Clausen CPA

Name Title

515-244-0266

Area Code / Phone Number / Extension

515-288-8350

Area Code / FAX Number

gclausen@rothcpa.com

E-mail Address

Address (URL) for the Holding Company's web page

Does the reporter request confidential treatment for any portion of this submission?

Yes Please identify the report items to which this request applies:

- In accordance with the instructions on pages GEN-2 and 3, a letter justifying the request is being provided.
- The information for which confidential treatment is sought is being submitted separately labeled "Confidential."

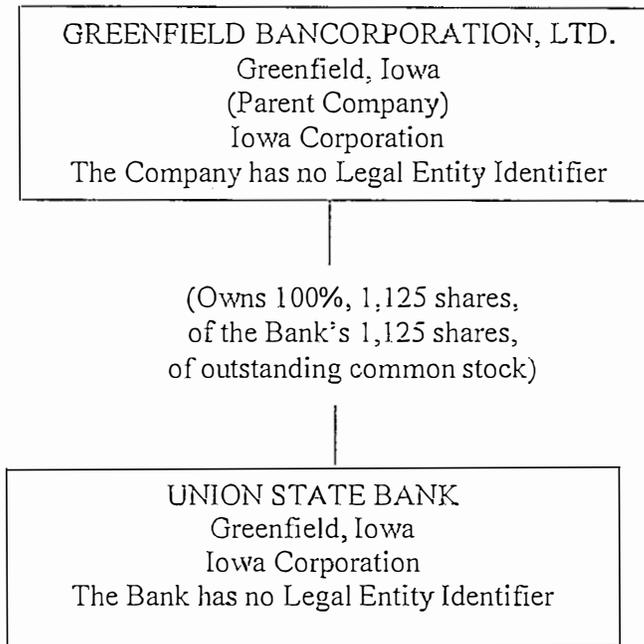
No

GREENFIELD BANCORPORATION, LTD.

1: Annual Report to Shareholders:

Financial statements as of and for the years ended December 31, 2015 and 2014 are attached to this report.

2a: Organization Chart:



2b: Domestic Branch Listing:

There are no adjustments to the Domestic Branch Listing provided to you via email March 8, 2016.

Results: A list of branches for your holding company: GREENFIELD BANCORPORATION, LTD (3640407) of GREENFIELD, IA.  
 The data are as of 12/31/2015. Data reflects information that was received and processed through 04/05/2016.

**Reconciliation and Verification Steps**

1. In the Data Action column of each branch row, enter one or more of the actions specified below.
2. If required, enter the date in the Effective Date column.

**Actions**

**OK:** If the branch information is correct, enter 'OK' in the Data Action column.  
**Change:** If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the Data Action column and the date when this information first became valid in the Effective Date column.  
**Close:** If a branch listed was sold or closed, enter 'Close' in the Data Action column and the sale or closure date in the Effective Date column.  
**Delete:** If a branch listed was never owned by this depository institution, enter 'Delete' in the Data Action column.  
**Add:** If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

**Submission Procedure**

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.  
 If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

Note:  
 To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of Change, Close, Delete, or Add.  
 The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

\* FDIC UNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_RSSD*	Comments
ok		Full Service (Head Office)	746942	UNION STATE BANK	214 SOUTH FIRST STREET	GREENFIELD	IA	50849	ADAIR	UNITED STATES	5411	0	UNION STATE BANK	746942	
ok		Full Service	2092960	BRIDGEWATER BRANCH	112 NORTH MAIN STREET	BRIDGEWATER	IA	50837	ADAIR	UNITED STATES	218612	1	UNION STATE BANK	746942	

3. Securities Holders:

- (1) Listing of shareholders that directly or indirectly own, control or hold with power to vote 5% or more of any class of voting securities of the bank holding company as of the fiscal year-end:

<u>Name and Address</u>	<u>Citizenship</u>	<u>Number of Shares</u>	<u>Percent of Ownership</u>
James or Peggy Scott Trust, Fontanelle, IA	U.S.A.	9,036	18.99%
Ralph and Joyce Neill Trust, Corning, IA	U.S.A.	7,636	16.05%
Marilyn McCall Family Trust and J.C. McCall Revocable Trust, Fontanelle, IA	U.S.A.	3,272	6.88%
Robert or Marvel Blazek, Prescott, IA	U.S.A.	2,509	5.27%

- (2) Listing of shareholders not listed in section 3(1) above that owned or controlled five percent or more of any class of voting securities in the bank holding company during the fiscal year for which the report is being filed:

None

GREENFIELD BANCORPORATION, LTD.

Report Items, continued

4. Insiders

Listing of each principal shareholder, director, trustee, partner, executive officer or persons exercising similar functions of the bank holding company.

(1) Name and Address	Peggy Scott Fontanelle, Iowa United States	Ralph Neill Corning, Iowa United States	Karen Havens Greenfield, Iowa United States
(2) Principal occupation	Banking	Retired Farmer	CPA
(3) Title or position with:			
(a) The bank holding company	President & Director	Vice President & Director	Secretary, Treasurer & Director
(b) Subsidiary of the bank holding company	Chairman & CEO	Director	Director
(c) Any other business company	(1) S & S Trenching, Inc., Vice President (2) JPS Enterprises, Ltd., Vice President (3) James & Peggy Scott Trust, Trustee (4) Scott Farms, Vice President	(5) Douglas Center Stock Farm, Sole Proprietorship	Karen Havens, CPA Sole Proprietorship Alvin's Pick Em Up, LLC, Vice President Alvin & Karen Havens Trust, Trustee Havens Farm
(4) Number of shares and percentage of each class of voting securities in:			
(a) The bank holding company	9,036 (18.99%)	7,636 (16.05%)	1,636 (3.44%)
(b) Subsidiary of the bank holding company	None	None	None
(c) Any other business company	(1) 50% (2) 50% (3) 50% (4) 50%	(5) 100% with spouse Joyce; real estate also owned with daughters all as tenants in common	Sole Proprietorship 50% 50% 50%

Continued

GREENFIELD BANCORPORATION. LTD.

Report Items, continued

4. Listing of each principal shareholder, director, trustee, partner, executive officer or persons exercising similar functions of the bank holding company.

(1) Name and Address	Brad Lydon Kimbalton, Iowa United States	Robert Blazek Prescott, Iowa United States	James Scott Fontanelle, Iowa United States	Joyce Neill Corning, Iowa United States
(2) Principal occupation	Warehouse Manager	Manufacturing Management	Farmer	Homemaker
(3) Title or position with:				
(a) The bank holding company	Director	Director	None	None
(b) Subsidiary of the bank holding company	None	Director	None	None
(c) Any other business company	None	None	(1) S & S Trenching, Inc., President (2) JPS Enterprises, Ltd., President (3) James & Peggy Scott Trust, Trustee (4) Scott Farms, President	(5) Douglas Center Stock Farm, Sole Proprietorship
(4) Number of shares and percentage of each class of voting securities in:				
(a) The bank holding company	1,000 (2.10%)	2,509 (5.27%)	9,036 (18.99%)	7,636 (16.05%)
(b) Subsidiary of the bank holding company	None	None	None	None
(c) Any other business company	None	None	(1) 50% (2) 50% (3) 50% (4) 50%	(5) 100% with spouse Ralph; real estate also owned with daughters all as tenants in common

Concluded