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# Annual Report of Holding Companies—FR Y-6

## Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844 (c)(1)(A)); Section 8(a) of the International Banking Act (12 U.S.C. § 3106(a)); Sections 11(a)(1), 25 and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); Section 211.13(c) of Regulation K (12 C.F.R. § 211.13(c)); and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)) and section 10(c)(2)(H) of the Home Owners' Loan Act. Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies and top-tier savings and loan holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report.

Date of Report (top-tier holding company's fiscal year-end):

**December 31, 2015**

Month / Day / Year

549300U2OQ2EIT5MXG39

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

Horizon Bancorp

Legal Title of Holding Company

515 Franklin Square

(Mailing Address of the Holding Company) Street / P.O. Box.

Michigan City

IN

46360

City

State

Zip Code

I, Craig M. Dwight

Name of the Holding Company Director and Official

Chairman, President and CEO

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

Daniel R. Buresh

VP - CAO

Name

Title

219-877-0482

Area Code / Phone Number / Extension

219-873-2628

Area Code / FAX Number

dburesh@horizonbank.com

E-mail Address

www.horizonbank.com

Address (URL) for the Holding Company's web page

Signature of Holding Company Director and Official

03/28/2016

Date of Signature

For holding companies not registered with the SEC—

Indicate status of Annual Report to Shareholders:

- is included with the FR Y-6 report
- will be sent under separate cover
- is not prepared

For Federal Reserve Bank Use Only

RSSD ID

C.I.

1209136

Does the reporter request confidential treatment for any portion of this submission?

Yes Please identify the report items to which this request applies:

In accordance with the instructions on pages GEN-2 and 3, a letter justifying the request is being provided.

The information for which confidential treatment is sought is being submitted separately labeled "Confidential."

No

Organizational Chart

Horizon Bancorp  
Michigan City, IN  
Incorporated in Indiana  
LEI: 549300U20Q2EIT6MXG39

Owens 100% of Horizon Bank, N.A., Horizon Risk Management, Inc., The Loan Store, Inc., Am Tru Statutory Trust I, Horizon Bancorp Capital Trust II, Alliance Financial Statutory Trust I, Horizon Capital Trust III, and Heartland (IN) Statutory Trust II

Horizon Risk Management, Inc.  
Las Vegas, NV  
Incorporated in Nevada  
LEI: None

The Loan Store, Inc.  
Michigan City, IN  
Incorporated in Indiana  
LEI: None

Horizon Bank, National Association  
Michigan City, IN  
Incorporated in Indiana  
LEI: P7GC0W65KB5CEIHLDX81

Am Tru Statutory Trust I  
Michigan City, IN  
Incorporated in Indiana  
LEI: None

Horizon Bancorp Capital Trust II  
Michigan City, IN  
Incorporated in Indiana  
LEI: None

Alliance Financial Statutory Trust I  
Michigan City, IN  
Incorporated in Indiana  
LEI: None

Horizon Capital Trust III  
Michigan City, IN  
Incorporated in Indiana  
LEI: None

Heartland (IN) Statutory Trust II  
Michigan City, IN  
Incorporated in Indiana  
LEI: None

(Owens 100% of Horizon Insurance Services, Inc., Horizon Investments, Inc., and Horizon Grantor Trust)

Horizon Insurance Services, Inc.  
Wanatah, IN  
Incorporated in Indiana  
LEI: None

Horizon Investment, Inc.  
Las Vegas, NV  
Incorporated in Nevada  
LEI: None

Horizon Grantor Trust  
Newark, DE  
Incorporated in Delaware  
LEI: None

(Owens 100% of Horizon Properties, Inc.)

Horizon Properties, Inc.  
Michigan City, IN  
Incorporated in Maryland  
LEI: None

**Add:** If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

**Submission Procedure**

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.

If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

**Note:**

To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of Change, Close, Delete, or Add. The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

\* FDIC UNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_RSSD*	Comments
OK		Full Service (Head Office)	130541	HORIZON BANK, NATIONAL ASSOCIATION	515 FRANKLIN SQUARE	MICHIGAN CITY	IN	46360	LA PORTE	UNITED STATES	2858	0	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	602972	212 WEST SEVENTH STREET BRANCH	212 WEST SEVENTH STREET	AUBURN	IN	46705	DEKALB	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2133528	105 MAIN STREET BRANCH	105 NORTH MAIN STREET	AVILLA	IN	46710	NOBLE	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2898564	THREE NOTCH LANE OFFICE	507 THREE NOTCH LANE	BARGERSVILLE	IN	46106	JOHNSON	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2189981	CHESTERTON BRANCH	423 SOUTH ROOSEVELT	CHESTERTON	IN	46304	PORTER	UNITED STATES	203458	22	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2133537	123 SOUTH MAIN STREET OFFICE	123 SOUTH MAIN STREET	COLUMBIA CITY	IN	46725	WHITLEY	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4152765	507 NORTH MAIN STREET BRANCH	507 NORTH MAIN STREET	COLUMBIA CITY	IN	46725	WHITLEY	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	3501496	CROWN POINT BRANCH	1300 NORTH MAIN STREET	CROWN POINT	IN	46307	LAKE	UNITED STATES	229050	44	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	3523014	ELKHART BRANCH	1909 EAST BRISTOL STREET	ELKHART	IN	46514	ELKHART	UNITED STATES	454113	36	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2596785	FRANKLIN BRANCH	420 NORTH MORTON STREET	FRANKLIN	IN	46131	JOHNSON	UNITED STATES	60761	50	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2133546	1212 SOUTH RANDOLPH STREET OFFICE	1212 SOUTH RANDOLPH STREET	GARRETT	IN	46738	DEKALB	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4146933	4574 ELKHART ROAD BRANCH	4574 ELKHART ROAD	GOSHEN	IN	46517	ELKHART	UNITED STATES	492678	41	HORIZON BANK, NATIONAL ASSOCIATION	130541	
Change	6/29/2015	Full Service	2969893	800 US 31 SOUTH OFFICE (OLD) GREENWOOD SOUTH BRANCH (NEW)	800 US 31 SOUTH (OLD) 942 US 31 SOUTH (NEW)	GREENWOOD	IN	46143	JOHNSON	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
Close	7/31/2015	Full Service	3966853	GREENWOOD EAST BRANCH	2433 EAST MAIN STREET	GREENWOOD	IN	46143	JOHNSON	UNITED STATES	290729	51	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2889067	GREENWOOD WEST BRANCH	151 MARLIN DRIVE	GREENWOOD	IN	46142	JOHNSON	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4152756	HOWE BRANCH	303 DEFIANCE STREET	HOWE	IN	46746	LAGRANGE	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4648736	302 NORTH ALABAMA BRANCH	302 NORTH ALABAMA	INDIANAPOLIS	IN	46204	MARION	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	3096731	116 WEST MITCHELL STREET OFFICE	116 WEST MITCHELL STREET	KENDALLVILLE	IN	46755	NOBLE	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2418494	1500 WEST LINCOLNWAY OFFICE	1500 WEST LINCOLNWAY	LA PORTE	IN	46350	LA PORTE	UNITED STATES	203457	21	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2133555	112-118 SOUTH DETROIT STREET BRANCH	112-118 SOUTH DETROIT STREET	LAGRANGE	IN	46761	LAGRANGE	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	3677748	8590 BROADWAY	8590 BROADWAY	MERRILLVILLE	IN	46410	LAKE	UNITED STATES	454576	37	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2888695	126 EAST 5TH STREET OFFICE	126 EAST 5TH STREET	MICHIGAN CITY	IN	46360	LA PORTE	UNITED STATES	289633	33	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2114040	3631 SOUTH FRANKLIN STREET OFFICE	3631 SOUTH FRANKLIN STREET	MICHIGAN CITY	IN	46360	LA PORTE	UNITED STATES	219897	15	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	349240	502 FRANKLIN STREET OFFICE	502 FRANKLIN STREET	MICHIGAN CITY	IN	46360	LA PORTE	UNITED STATES	5817	12	HORIZON BANK, NATIONAL ASSOCIATION	130541	
Close	9/19/2015	Full Service	4152112	MIDDLEBURY BRANCH	420 NORTH MAIN STREET	MIDDLEBURY	IN	46540	ELKHART	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4146942	10429 CALUMET AVENUE BRANCH	10429 CALUMET AVENUE	MUNSTER	IN	46321	LAKE	UNITED STATES	492452	40	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2380582	2650 WILLOWCREEK ROAD OFFICE	2650 WILLOWCREEK ROAD	PORTAGE	IN	46368	PORTER	UNITED STATES	203460	24	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	3523050	SOUTH BEND BRANCH	17400 STATE ROAD 23	SOUTH BEND	IN	46635	ST JOSEPH	UNITED STATES	511944	47	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4152103	TOPEKA BRANCH	210 WES LAKE STREET	TOPEKA	IN	46571	LAGRANGE	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2324492	4208 NORTH CALUMET AVENUE OFFICE	4208 NORTH CALUMET AVENUE	VALPARAISO	IN	46383	PORTER	UNITED STATES	203459	23	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4398198	455 MORTLAND DRIVE	455 MORTLAND DRIVE	VALPARAISO	IN	46383	PORTER	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4146924	902 EAST LINCOLNWAY BRANCH	902 EAST LINCOLNWAY	VALPARAISO	IN	46383	PORTER	UNITED STATES	474369	39	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2083959	113 WEST FIRST STREET OFFICE	113 WEST FIRST STREET	WANATAH	IN	46390	LA PORTE	UNITED STATES	203452	7	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4152121	WATERLOO BRANCH	625 SOUTH WAYNE STREET	WATERLOO	IN	46793	DEKALB	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4136907	119TH STREET BRANCH	1321 119TH STREET	WHITING	IN	46394	LAKE	UNITED STATES	510138	46	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2245524	1349 CALUMET AVENUE OFFICE	13 9 CALUMET AVENUE	WHITING	IN	46394	LAKE	UNITED STATES	22 49	48	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4586995	22730 MAIN STREET BRANCH	22730 MAIN STREET	WOODBURN	IN	46797	ALLEN	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4146915	BENTON HARBOR BRANCH	1041 EAST NAPIER AVENUE	BENTON HARBOR	MI	49022	BERRIEN	UNITED STATES	464090	38	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	3134250	1600 ABBOTT ROAD BRANCH	1600 ABBOTT ROAD	EAST LANSING	MI	48823-190	INGHAM	UNITED STATES	363166	57	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	1887495	500 WEST BUFFALO STREET OFFICE	500 WES BUFFALO S REET	NEW BUFFALO	MI	49117	BERRIEN	UNITED STATES	47174	30	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	3700392	OKEMOS OFFICE	2151 WEST GRAND RIVER	OKEMOS	MI	48864	INGHAM	UNITED STATES	478832	58	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4299802	PORTAGE MI BRANCH	3250 WEST CENTRE AVENUE	PORTAGE	MI	49024	KALAMAZOO	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	3494226	NILES ROAD BRANCH	2608 NILES ROAD	SAINT JOSEPH	MI	49085	BERRIEN	UNITED STATES	433292	29	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	3494235	ST. JOSEPH BRANCH	811 SHIP STREET	SAINT JOSEPH	MI	49085	BERRIEN	UNITED STATES	419763	27	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4152747	SCHOOLCRAFT BRANCH	500 NORTH GRAND STREET	SCHOOLCRAFT	MI	49087	KALAMAZOO	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	2426462	6801 WEST US 12 OFFICE	6801 WEST US 12	THREE OAKS	MI	49128	BERRIEN	UNITED STATES	289631	31	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	802978	THREE RIVERS BRANCH	123 PORTAGE AVENUE	THREE RIVERS	MI	49093	ST JOSEPH	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4152167	WEST MICHIGAN AVENUE BRANCH	1213 WEST MICHIGAN AVENUE	THREE RIVERS	MI	49093	ST JOSEPH	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	
OK		Full Service	4152130	UNION BRANCH	15534 US HIGHWAY 12	UNION	MI	49130	CASS	UNITED STATES	Not Required	Not Required	HORIZON BANK, NATIONAL ASSOCIATION	130541	

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Horizon Bancorp  
 Michigan City, IN  
 Fiscal Year Ending December 31, 2015

Report Item 3: Securities Holders

Current securities holders with ownership, control or holdings of 5% or more with power to vote <u>as-of</u> fiscal year end.			Securities holders not listed in (3)(1)(a) through (3)(1)(c) that had ownership, control or holdings of 5% or more with power to vote <u>during</u> the fiscal year.		
(1)(a) Name City, State, Country	(1)(b) Country of Citizenship or Incorporation	(1)(c) Number and Percentage of Each Class of Voting Securities	(2)(a) Name City, State, Country	(2)(b) Country of Citizenship or Incorporation	(2)(c) Number and Percentage of Each Class of Voting Securities
Horizon Bancorp ESOP C/O Horizon Trust & Investment Co. Michigan City, IN 46360	USA	763,303 / 6.39%	None		

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Report Item 4: Insiders

(1)(a)(b) and (2)(a)(b)

(1) Name & Address (City, State, Country)	(2) Principal Occupation if other than with Bank Holding Company	(3)(a) Title & Position with Holding Company	(3)(b) Title & Position with Subsidiaries (Include names of subsidiaries)	(3) Title & Position with Other Businesses (Include names of other businesses)	(4)(a) Percentage of Voting Securities In Holding Company	(4)(b) Percentage of Voting Securities in Subsidiaries (include names of subsidiaries)	(4) List names of other companies (includes partnerships) if 25% or more of voting securities are held (List names of companies and of percentage of voting securities held)
Susan D. Aaron LaPorte, IN 46350 USA	Accounts Receivable Management Real Estate Holdings	Director	Director (Horizon Bank)	CEO-Vision Financial Services  Dent Properties LLC	0.20%	0.00%	99%  50% Partner
Lawrence E. Burnell Valparaiso, IN 46385 USA	Hotel Development & Management	Director	Director (Horizon Bank)	White Lodging Services Corp. Vice Chairman	0.11%	0.00%	N/A
Kathie A. DeRuiter Michigan City, IN 46360 USA	None	ExVP & SOO	Ex VP & SOO (Horizon Bank)	None	0.22%	0.00%	N/A
Craig M. Dwight Michigan City, IN 46360 USA	None	Chairman President & CEO	Chairman & CEO (Horizon Bank)	None	1.58%	0.00%	N/A
James B. Dworkin Westville, IN 46391 USA	Education	Director	Director (Horizon Bank)	Chancellor Purdue University-North Central	0.10%	0.00%	N/A
Thomas H. Edwards Michigan City, IN 46360 USA	None	Executive VP	Director President & COO (Horizon Bank)	None	0.69%	0.00%	N/A
Charley E. Gillispie Valparaiso, IN 46383 USA	None	Director	Director (Horizon Bank)	None	0.13%	0.00%	N/A
Daniel F. Hopp St. Joseph, MI 49085 USA	None	Lead Director	Director (Horizon Bank)	None	0.26%	0.00%	N/A
Larry N. Middleton, Jr. Michigan City, IN 46360 USA	Real Estate Brokerage  Real Estate Referrals Rental Properties	Director	Director (Horizon Bank)	President, Century 21 Middleton Co, Inc.  Middleton Referral, LLC Coveland Properties, LLC	0.25%	0.00%	50% Partner  50% Partner 50% Partner
James D. Neff Michigan City, IN 46360 USA	None	Secretary	Ex Vice President (Horizon Bank)	None	1.12%	0.00%	N/A

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Report Item 4: Insiders  
(1)(a)(b) and (2)(a)(b)

(1) Name & Address (City, State, Country)	(2) Principal Occupation if other than with Bank Holding Company	(3)(a) Title & Position with Holding Company	(3)(b) Title & Position with Subsidiaries (Include names of subsidiaries)	(3)(c) Title & Position with Other Businesses (Include names of other businesses)	(4)(a) Percentage of Voting Securities in Holding Company	(4)(b) Percentage of Voting Securities in Subsidiaries (Include names of subsidiaries)	(4)(d) List names of other companies (includes partnerships) if 25% or more of voting securities are held (List names of companies and of percentage of voting securities held)
Peter L. Pairitz Michigan City, IN 46360 USA	Industrial Real Estate Industrial Real Estate Rental Metal Fabrication Investment in Farm and Timber Real Estate	Director	Director (Horizon Bank)	President & Member MC Enterprise Center, LLC President & Shareholder MCL Acquisitions, Inc Member & Managing Member Sager Metal Strip Co, Inc Member Dowagiac Creek, LLC	0.73%	0.00%	33.3% Partner 47.5% Partner 41% Partner 12.5% Partner
Steven W. Reed Indianapolis, IN 46204 USA	Public Accounting Farm Ground Rental Retail Real Estate Entity Holding Company	None	Director (Horizon Bank)	BGBC Partners, LLP Chadwick Gladstone Farms, LLC Atosaras LLC BGBC Funding LLC	0.04%	0.00%	15% Partner 37.5% Partner 33.3% Partner 18.33% Partner
David G. Rose Michigan City, IN 46360 USA	None	Ex VP & SRBA	Ex VP & SRBA (Horizon Bank)	None	0.92%	0.00%	N/A
Mark E. Secor Michigan City, IN 46360 USA	None	Ex VP & CFO	Ex VP & CFO (Horizon Bank)	None	0.15%	0.00%	N/A
Robert E. Swinehart Valparaiso, IN 46383 USA	None	Director	Director (Horizon Bank)	None	0.24%	0.00%	N/A
Spero W. Valavanis Valparaiso, IN 46383 USA	Planning, Architecture & Interior Design Firm RE Ownership in LLC RE Ownership in LLC RE Ownership RE Ownership	Director	Director (Horizon Bank)	Design Organization Inc. (Wholly owned by Shive-Hattery Inc.) DO 57, LLC 57 Franklin LLC Space Organization, Inc. Ridge Property	0.22%	0.00%	1.10% of Shive-Hattery Inc 25% Shareholder 8.3% Shareholder 50% Shareholder 25% Shareholder
Maurice F. Winkler III Waterloo, IN 46793 USA	None	Director	Director (Horizon Bank)	None	0.28%	0.00%	N/A
Horizon Bancorp ESOP c/o Horizon Trust & Inv Co. Michigan City, IN 46360 USA	None	None	None	None	6.39%	0.00%	N/A