



Board of Governors of the Federal Reserve System



# Annual Report of Holding Companies—FR Y-6

## Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844 (c)(1)(A)); Section 8(a) of the International Banking Act (12 U.S.C. § 3106(a)); Sections 11(a)(1), 25 and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); Section 211.13(c) of Regulation K (12 C.F.R. § 211.13(c)); and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)) and section 10(c)(2)(H) of the Home Owners' Loan Act. Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies and top-tier savings and loan holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report.

Date of Report (top-tier holding company's fiscal year-end):

**December 31, 2015**

Month / Day / Year

None

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

**Ploetz Investments Limited Partnership**

Legal Title of Holding Company

**PO Box 130**

(Mailing Address of the Holding Company) Street / P.O. Box

**Prairie du Sac WI 53578**

City State Zip Code

**555 Park Avenue, Prairie du Sac, WI 53578**

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

**Court Drescher Senior VP and CFO**

Name Title

**608-643-3393**

Area Code / Phone Number / Extension

**608-643-2282**

Area Code / FAX Number

**cdrescher@bankpds.com**

E-mail Address

**www.bankpds.com**

Address (URL) for the Holding Company's web page

I, **Steven C. Ploetz**

Name of the Holding Company Director and Official

**President, Director**

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Signature of Holding Company Director and Official

**3-28-16**

Date of Signature

For holding companies not registered with the SEC—

Indicate status of Annual Report to Shareholders:

- is included with the FR Y-6 report
- will be sent under separate cover
- is not prepared

For Federal Reserve Bank Use Only

RSSD ID **2658496**  
C.I. \_\_\_\_\_

Does the reporter request confidential treatment for any portion of this submission?

Yes Please identify the report items to which this request applies:

- In accordance with the instructions on pages GEN-2 and 3, a letter justifying the request is being provided.
- The information for which confidential treatment is sought is being submitted separately labeled "Confidential."

No

## For Use By Tiered Holding Companies

Top-tiered holding companies must list the names, mailing address, and physical locations of each of their subsidiary holding companies below.

PDS Bancorp, Inc.  
Legal Title of Subsidiary Holding Company

PO Box 130  
(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

Prairie du Sac WI 53578  
City State Zip Code

555 Park Avenue, Prairie du Sac, WI 53578  
Physical Location (if different from mailing address)

Legal Title of Subsidiary Holding Company

(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

City State Zip Code

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(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

City State Zip Code

Physical Location (if different from mailing address)

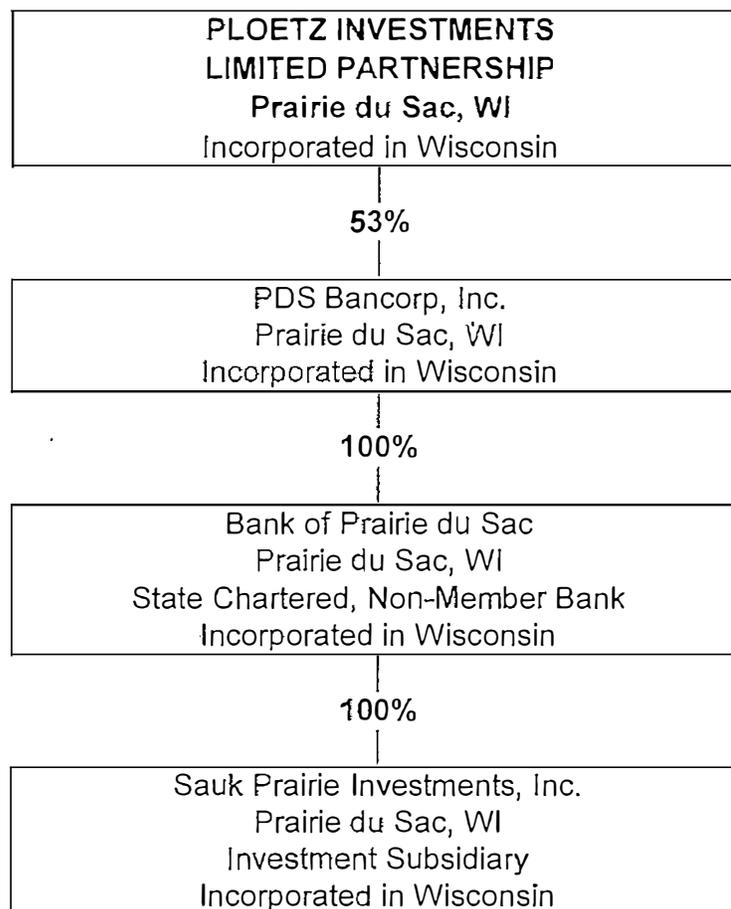
Form FR Y-6

Ploetz Investments Limited Partnership  
Prairie du Sac, Wisconsin  
Fiscal Year Ending December 31, 2015

Report Item

- 1: The bank holding company prepares an annual report for its securities holders and is not registered with the SEC. As specified by the appropriate Reserve Bank, two copies are enclosed.

2a: Organizational Chart



No LEI for the above entities

- 2b: Domestic branch listing provided to the Federal Reserve Bank.

Results: A list of branches for your depository institution: BANK OF PRAIRIE DU SAC (ID\_RSSD:997847).  
 This depository institution is held by PLOETZ INVESTMENTS LIMITED PARTNERSHIP (2658496) of PRAIRIE DU SAC, WI.  
 The data are as of 12/31/2015. Data reflects information that was received and processed through 01/07/2016.

**Reconciliation and Verification Steps**

1. In the Data Action column of each branch row, enter one or more of the actions specified below.
2. If required, enter the date in the Effective Date column.

**Actions**

OK: If the branch information is correct, enter 'OK' in the Data Action column.  
 Change: If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the Data Action column and the date when this information first became valid in the Effective Date column.  
 Close: If a branch listed was sold or closed, enter 'Close' in the Data Action column and the sale or closure date in the Effective Date column.  
 Delete: If a branch listed was never owned by this depository institution, enter 'Delete' in the Data Action column.  
 Add: If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

**Submission Procedure**

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.  
 If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

**Note:**

To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of Change, Close, Delete, or Add.  
 The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

\* FDICUNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	BranchID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDICUNINUM*	Office Number*	Head Office	Head Office ID_RSSD*	Comments
OK		Full Service (Head Office)	997847	BANK OF PRAIRIE DU SAC	555 PARK AVENUE	PRAIRIE DU SAC	WI	53578	SAUK	UNITED STATES	9166	0	BANK OF PRAIRIE DU SAC	997847	
OK		Full Service	3511664	SAUK CITY BRANCH	865 PHILLIPS BOULEVARD	SAUK CITY	WI	53583	SAUK	UNITED STATES	231892	1	BANK OF PRAIRIE DU SAC	997847	

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PDS Bancorp, Inc.  
 Prairie du Sac, Wisconsin  
 Fiscal Year Ending December 31, 2015

Report Item 3: Securities holders  
 (1)(a)(b)(c) and (2)(a)(b)(c)

Current securities holders with ownership, control or holdings of 5% or more with power to vote as of fiscal year ending 12/31/15			Securities holders not listed in (3)(1)(a) through (3)(1)(c) that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 12/31/15		
(1)(a) Name, City, State, Country	(1)(b) Country of Citizenship or Incorporation	(1)(c) Number and Percentage of Each Class of Voting Securities	(2)(a) Name, City, State, Country	(2)(b) Country of Citizenship or Incorporation	(2)(c) Number and Percentage of Each Class of Voting Securities
Ploetz Investments Limited Partnership Prairie du Sac, WI	USA	61,642 - 53% Common Stock  (Steven, Patricia, Pamela, Andrew, Paula, and Lori Ploetz, along with Ploetz N&N Qtlp Trust and Ploetz Qtlp Trust vote these shares)	None	N/A	N/A

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Ploetz Investments Limited Partnership  
 Prairie du Sac, Wisconsin  
 Fiscal Year Ending December 31, 2015

Report Item 3: Securities holders  
 (1)(a)(b)(c) and (2)(a)(b)(c)

Current securities holders with ownership, control or holdings of 5% or more with power to vote as of fiscal year ending 12/31/15			Securities holders not listed in (3)(1)(a) through (3)(1)(c) that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 12/31/15		
(1)(a) Name, City, State, Country	(1)(b) Country of Citizenship or Incorporation	(1)(c) Number and Percentage of Each Class of Voting Securities	(2)(a) Name, City, State, Country	(2)(b) Country of Citizenship or Incorporation	(2)(c) Number and Percentage of Each Class of Voting Securities
Steven Ploetz Prairie du Sac, WI, USA	USA	16,163 - 26% Common Stock	None	N/A	N/A
Pamela Ploetz Madison, WI, USA	USA	17,008 - 28% Common Stock			
Patricia Ploetz Minneapolis, MN, USA	USA	16,508 - 27% Common Stock			

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PDS Bancorp, Inc.  
 Prairie du Sac, Wisconsin  
 Fiscal Year Ending December 31, 2016

Report Item 4: Insiders  
 (1), (2), (3) (a)(b)(c), and (4)(a)(b)(c)

(1) Name, City, State, Country	(2) Principal Occupation if other than with Bank Holding Company	(3)(a) Title & Position with Bank Holding Company	(3)(b) Title & Position with Subsidiaries (include N/Ames of subsidiaries)	(3)(c) Title & Position with Other Businesses (include N/Ames of other businesses)	(4)(a) Percentage of Voting Shares in Bank Holding Company	(4)(b) Percentage of Voting Shares in Subsidiaries (include N/Ames of subsidiaries)	(4)(c) List N/Ames of other companies (includes partnerships) if 25% or more of voting securities are held (List N/Ames of companies and percentage of voting securities held)
Ploetz Investments Limited Partnership Prairie du Sac, WI USA	N/A	Shareholder	N/A	N/A	53%	53% (Bank of Prairie du Sac) (Sauk Prairie Investments)	N/A
Staven Ploetz Prairie du Sac, WI USA	Banker	President/ Chairman of the Board	Director & President (Bank of Prairie du Sac) (Sauk Prairie Investments)	General Partner (Ploetz Investments through the Limited Partnership)	0.38% (plus 14.11% through the Limited Partnership)	14.49% (Bank of Prairie du Sac) (Sauk Prairie Investments)	26.22% (Ploetz Investments Limited Partnership)
Patricia Ploetz Minneapolis, MN USA	Retired	Partner	N/A	N/A	(14.41% through the Limited Partnership)	14.41% (Bank of Prairie du Sac) (Sauk Prairie Investments)	26.78% (Ploetz Investments Limited Partnership)
Pamela Ploetz Madison, WI USA	Retired	Partner	N/A	N/A	(14.85% through the Limited Partnership)	14.85% (Bank of Prairie du Sac) (Sauk Prairie Investments)	27.59% (Ploetz Investments Limited Partnership)
Ploetz N&N Qtip Trust Prairie du Sac, WI USA	N/A	Partner	N/A	N/A	(1.85% through the Limited Partnership)	1.85% (Bank of Prairie du Sac) (Sauk Prairie Investments)	N/A
Ploetz Qtip Trust Prairie du Sac, WI USA	N/A	Partner	N/A	N/A	(1.92% through the Limited Partnership)	1.92% (Bank of Prairie du Sac) (Sauk Prairie Investments)	N/A
Andrew Ploetz Prairie du Sac, WI USA	Programmer	Partner	N/A	N/A	(2.22% through the Limited Partnership)	2.22% (Bank of Prairie du Sac) (Sauk Prairie Investments)	N/A
Paula Ploetz Prairie du Sac, WI USA	Psychologist	Partner	N/A	N/A	(2.22% through the Limited Partnership)	2.22% (Bank of Prairie du Sac) (Sauk Prairie Investments)	N/A

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Ploetz Investments Limited Partnership  
 Prairie du Sac, Wisconsin  
 Fiscal Year Ending December 31, 2015

Report Items 4: Insiders  
 (1), (2), (3)(a)(b)(c), and (4)(a)(b)(c)

(1) Names City, State, Country	(2) Principal Occupation if other than with Bank Holding Company	(3)(a) Title & Position with Bank Holding Company	(3)(b) Title & Position with Subsidiaries (include names of subsidiaries)	(3)(c) Title & Position with Other Businesses (include names of other businesses)	(4)(a) Percentage of Voting Shares in Bank Holding Company	(4)(b) Percentage of Voting Shares in Subsidiaries (include names of subsidiaries)	(4)(c) List names of other companies (includes partnerships) if 25% or more of voting securities are held (List names of companies and percentage of voting securities held)
Steven Ploetz Prairie du Sac, WI USA	Banker	Partner	Director & President (Bank of Prairie du Sac)  President (Sauk Prairie Investments, Inc.)	N/A	26%	14.49% (PDS Bancorp, Inc.) (Bank of Prairie du Sac) (Sauk Prairie Investments, Inc.)	N/A
Pamela Ploetz Madison, WI USA	Retired	Partner	N/A	N/A	28%	14.85% (PDS Bancorp, Inc.) (Bank of Prairie du Sac) (Sauk Prairie Investments, Inc.)	N/A
Patricia Ploetz Minneapolis, MN USA	Retired	Partner	N/A	N/A	27%	14.41% (PDS Bancorp, Inc.) (Bank of Prairie du Sac) (Sauk Prairie Investments, Inc.)	N/A