

COPY

Board of Governors of the Federal Reserve System



Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844 (c)(1)(A)); Section 8(a) of the International Banking Act (12 U.S.C. § 3106(a)); Sections 11(a)(1), 25 and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); Section 211.13(c) of Regulation K (12 C.F.R. § 211.13(c)); and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)) and section 10(c)(2)(H) of the Home Owners' Loan Act. Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies and top-tier savings and loan holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report.

Date of Report (top-tier holding company's fiscal year-end):  
**December 31, 2015**

Month / Day / Year

None

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

I, John P. Rigler

Name of the Holding Company Director and Official

V.P., Secretary and Treasurer

Title of the Holding Company Director and Official

Reporter's Name, Street, and Mailing Address

Rigler Investment Company

Legal Title of Holding Company

25 N. Chestnut Avenue

(Mailing Address of the Holding Company) Street / P.O. Box

New Hampton

IA

50659

City

State

Zip Code

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

Physical Location (if different from mailing address)

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Person to whom questions about this report should be directed:

Stacy A. Janssen

Director - KPMG LLP

Name

Title

515-697-1225

Area Code / Phone Number / Extension

866-330-4599

Area Code / FAX Number

sajanssen@kpmg.com

E-mail Address

Signature of Holding Company Director and Official

Date of Signature

Address (URL) for the Holding Company's web page

For holding companies not registered with the SEC—

Indicate status of Annual Report to Shareholders:

- is included with the FR Y-6 report
- will be sent under separate cover
- is not prepared

For Federal Reserve Bank Use Only

RSSD ID 1210730  
C.I. \_\_\_\_\_

Does the reporter request confidential treatment for any portion of this submission?

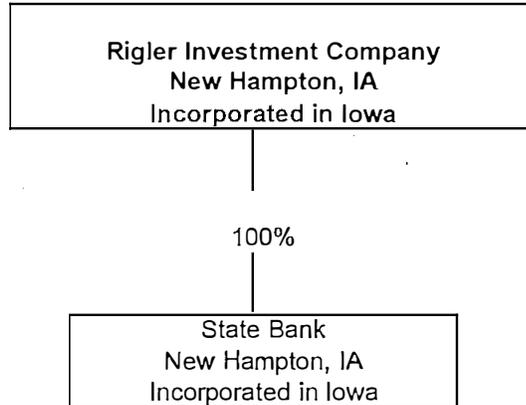
- Yes Please identify the report items to which this request applies:  
  
 In accordance with the instructions on pages GEN-2 and 3, a letter justifying the request is being provided.
- The information for which confidential treatment is sought is being submitted separately labeled "Confidential."
- No

Form FR Y-6  
Rigler Investment Company  
New Hampton, Iowa  
Fiscal Year Ending December 31, 2015

Report Item

1: The bank holding company does not prepare an annual report for its shareholders and is not registered with the SEC.

2a: Organizational Chart (no entities listed in the organizational chart have an LEI)



2b: Domestic branch listing provided to the Federal Reserve Bank.

Results: A list of branches for your holding company: RIGLER INVESTMENT COMPANY (1210730) of NEW HAMPTON, IA.  
The data are as of 12/31/2015. Data reflects information that was received and processed through 01/07/2016.

**Reconciliation and Verification Steps**

1. In the Data Action column of each branch row, enter one or more of the actions specified below.
2. If required, enter the date in the Effective Date column.

**Actions**

OK: If the branch information is correct, enter 'OK' in the Data Action column.

Change: If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the Data Action column and the date when this information first became valid in the Effective Date column.

Close: If a branch listed was sold or closed, enter 'Close' in the Data Action column and the sale or closure date in the Effective Date column.

Delete: If a branch listed was never owned by this depository institution, enter 'Delete' in the Data Action column.

Add: If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

**Submission Procedure**

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.

If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

**Note:**

To satisfy the FR Y-10 reporting requirements, you must also submit FRY-10 Domestic Branch Schedules for each branch with a Data Action of Change, Close, Delete, or Add.

The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

\* FDICUNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_RSSD*	Comments
ok		Full Service (Head Office)	231149	STATE BANK	25 NORTH CHESTNUT AVE	NEW HAMPTON	IA	50659	CHICKASAW	UNITED STATES	9803	0	STATE BANK	231149	
Change	1/1/2015	Full Service	827542	Calmar Office	102 WEST MAIN	CALMAR	IA	52132	WINNESHIEK	UNITED STATES	6973	1	STATE BANK	231149	
Change	1/1/2015	Full Service	3680896	Charles City Office	610 GILBERT STREET	CHARLES CITY	IA	50616	FLOYD	UNITED STATES	465221	3	STATE BANK	231149	
ok		Full Service	640844	DIKE OFFICE	561 MAIN STREET	DIKE	IA	50624	GRUNDY	UNITED STATES	9948	8	STATE BANK	231149	
Change	1/1/2015	Full Service	2082541	JANESVILLE OFFICE	204 Wildcat Way	JANESVILLE	IA	50647	BREMER	UNITED STATES	217923	5	STATE BANK	231149	
Change	1/1/2015	Full Service	71242	OSSIAN Office	106 EAST MAIN STREET	OSSIAN	IA	52161	WINNESHIEK	UNITED STATES	Not Required	Not Required	STATE BANK	231149	
ok		Full Service	498746	WAVERLY DOWNTOWN OFFICE	124 1ST STREET SOUTHEAST	WAVERLY	IA	50677	BREMER	UNITED STATES	5155	4	STATE BANK	231149	
ok		Full Service	2104603	WAVERLY SOUTH 218 OFFICE	1503 4TH STREET SOUTHWEST	WAVERLY	IA	50677	BREMER	UNITED STATES	Not Required	Not Required	STATE BANK	231149	

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Rigler Investment Company  
Fiscal Year Ending December 31, 2015

Report Item 3: Securities Holders

(1)(a)(b)(c) and (2)(a)(b)(c)

Current securities holders with ownership, control or holdings of 5% or more with power to vote as of fiscal year ending 12-31-2015

Securities holders not listed in 3(1)(a) through (3)(1)(c) that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 12-31-2015

(1)(a) Name & Address (City, State, Country)	(1)(b) Country of Citizenship or Incorporation	(1)(c) Number and Percentage of Each Class of Voting Securities	(2)(a) Name & Address (City, State, Country)	(2)(b) Country of Citizenship or Incorporation	(2)(c) Number and Percentage of Each Class of Voting Securities
Robert E. Rigler and Robert E. Rigler Trust (direct and indirect ownership)	USA	7,697 - 33.34% Common Stock	Not applicable	Not applicable	Not applicable
John P. Rigler Trust John P. Rigler, Trustee New Hampton, IA,	USA	2,876 - 12.45% Common Stock			
Nancy Rigler & Nancy Rigler Trust (direct and indirect ownership)	USA	4,292 - 18.58% Common Stock			
Joi Dundas & Nancy Rigler Family Irrevocable Trust (direct and indirect ownership)	USA	1,703 - 7.38% Common Stock			
Paul Kristian Povlsen IV & Nancy Rigler Family Irrevocable Trust	USA	1702 - 7.37% Common Stock			
David A. Rigler & David A. Rigler Trust (direct and indirect ownership)	USA	1607 - 6.96% Common Stock			
John P. Rigler III & John P. Rigler III Trust (direct and indirect ownership)	USA	1607 - 6.96% Common Stock			
Hannah L. Rigler & Hannah L. Rigler Trust (direct and indirect ownership) Iowa City, IA, USA	USA	1607 - 6.96% Common Stock			

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Riglor Investment Company  
Fiscal Year Ending December 31, 2016

Report Item 4: Insiders

(1), (2), (3)(a)(b)(c), and (4)(a)(b)(c)

(1)	(2)	(3)(a)	(3)(b)	(3)(c)	(4)(a)	(4)(b)	(4)(c) List names of other companies (includes partnerships) if 25% or more of voting securities are held (List names of companies and percentage of voting securities held)
Names & Address (City, State, Country)	Principal Occupation if other than with Holding Company	Title & Position with Holding Company	Title & Position with Subsidiaries (include names of subsidiaries)	Title & Position with Other Businesses (include names of other businesses)	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries (include names of subsidiaries)	
John P. Rigler New Hampton, IA, USA	Banking	Chairman, Chief Executive Officer, & Director	Chairman, President, Chief Executive Officer, Trust Officer (State Bank)	None	None	None	None
Robert E. Rigler Warrenton, VA, USA	Retired	Vice President & Director	None	None	23.46%	None	None
Nancy Rigler De Kalb, IL, USA	Retired	Secretary, Treasurer & Director	None	None	6.13%	None	None
John P. Rigler Trust John P. Rigler, Trustee New Hampton, IA, USA	N/A	N/A	N/A	N/A	12.45%	None	N/A
Nancy Rigler Trust Nancy Rigler, Trustee De Kalb, IL, USA	N/A	N/A	N/A	N/A	12.45%	None	N/A
Robert E. Rigler Trust Robert E. Rigler, Trustee Warrenton, VA, USA	N/A	N/A	N/A	N/A	9.88%	None	N/A
John P. Rigler III Urbandale, IA, USA	Banking	N/A	N/A	None	4.54%	None	None
John P. Rigler III Trust John P. Rigler, Trustee Urbandale, IA, USA	N/A	N/A	N/A	N/A	2.42%	None	None
David A. Rigler Chicago, IL, USA	Student	N/A	N/A	None	4.54%	None	None
David A. Rigler Trust David A. Rigler, Trustee Chicago, IL, USA	N/A	N/A	N/A	N/A	2.42%	None	None

Report Item 4: Insiders (1), (2), (3)(a)(b)(c), and (4)(a)(b)(c)							
(1)	(2)	(3)(a)	(3)(b)	(3)(c)	(4)(a)	(4)(b)	(4)(c) List names of other companies (includes partnerships) if 25% or more of voting securities are held (List names of companies and percentage of voting securities held)
Names & Address (City, State, Country)	Principal Occupation If other than with Holding Company	Title & Position with Holding Company	Title & Position with Subsidiaries (include names of subsidiaries)	Title & Position with Other Businesses (include names of other businesses)	Percentage of Voting Shares in Holding Company	Percentage of Voting Shares in Subsidiaries (include names of subsidiaries)	
Hannah L. Rigler Iowa City, IA, USA	Researcher	N/A	N/A	None	4.54%	None	None
Hannah L. Rigler Trust Hannah L. Rigler, Trustee Iowa City, IA, USA	N/A	N/A	N/A	N/A	2.42%	None	None
Joi Povlsen Dundas Davis Junction, IL, USA	Teacher	N/A	N/A	None	0.88%	None	None
Nancy Rigler Family Irrevocable Trust-Joi Dundas Davis Junction, IL, USA	N/A	N/A	N/A	N/A	6.50%	None	None
Paul Kristian Povlsen IV Flagstaff, AZ, USA	Computer Programmer	N/A	N/A	None	0.87%	None	None
Nancy Rigler Family Irrevocable Trust-Paul Kristian Povlsen IV Flagstaff, AZ, USA	N/A	N/A	N/A	N/A	6.50%	None	None