



Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844 (c)(1)(A)); Section 8(a) of the International Banking Act (12 U.S.C. § 3106(a)); Sections 11(a)(1), 25 and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); Section 211.13(c) of Regulation K (12 C.F.R. § 211.13(c)); and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)) and section 10(c)(2)(H) of the Home Owners' Loan Act. Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies and top-tier savings and loan holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report.

I, John J. Sund Jr.

Name of the Holding Company Director and Official
President

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

John J. Sund
 Signature of Holding Company Director and Official
 Date of Signature 3-15-16

For holding companies not registered with the SEC—
 Indicate status of Annual Report to Shareholders:

is included with the FR Y-6 report
 will be sent under separate cover
 is not prepared

For Federal Reserve Bank Use Only

RSSD ID 2463711
 C.I. _____

Date of Report (top-tier holding company's fiscal year-end):
December 31, 2015

Month / Day / Year

None

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address
Sparta Union Bancshares, Inc.

Legal Title of Holding Company

124 West Oak Street; PO Box 249

(Mailing Address of the Holding Company) Street / P.O. Box

Sparta WI 54656
 City State Zip Code

N/A

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

Brian Buchholz CPA
 Name Title

715-858-6608

Area Code / Phone Number / Extension

715832-0475

Area Code / FAX Number

bbuchholz@wipfli.com

E-mail Address

www.unbsparta.com

Address (URL) for the Holding Company's web page

Does the reporter request confidential treatment for any portion of this submission?

Yes Please identify the report items to which this request applies:

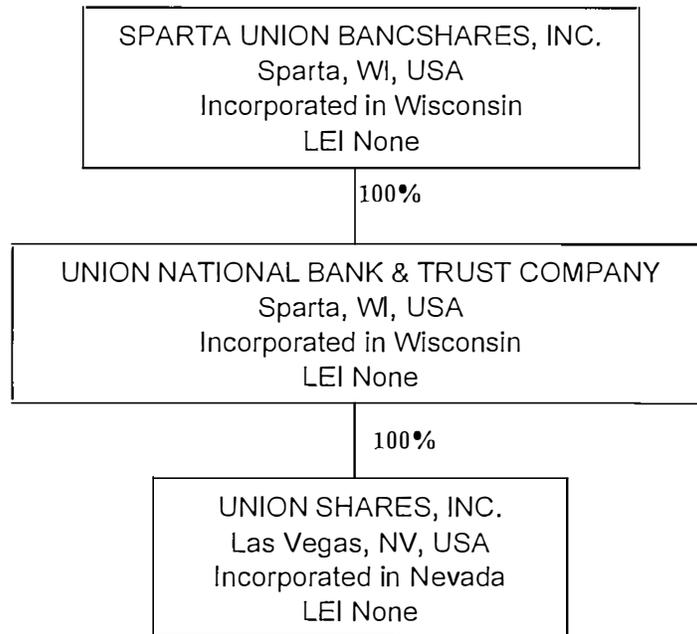
In accordance with the instructions on pages GEN-2 and 3, a letter justifying the request is being provided.

The information for which confidential treatment is sought is being submitted separately labeled "Confidential."

No

REPORT ITEMS

- 1a. Not applicable. Holding Company does not file Form 10-K.
- 1b. Not applicable. Holding Company does not prepare an annual report for their shareholders.
- 2a. Organization Chart



- 2b. Branch verification—Submitted via e-mail on March 10, 2016.
- 3. (1) and (2) See Schedule of Securities Holders.
- 4. See Schedule of Insiders.

Results: A list of branches for your depository institution: **UNION NATIONAL BANK & TRUST COMPANY (ID_RSSD: 68448)**.
 This depository institution is held by **SPARTA UNION BANCSHARES, INC. (2463711) of SPARTA, WI**.
 The data are as of **12/31/2015**. Data reflects information that was received and processed through **01/07/2016**.

Reconciliation and Verification Steps

1. In the **Data Action** column of each branch row, enter one or more of the actions specified below.
2. If required, enter the date in the **Effective Date** column.

Actions

- OK:** If the branch information is correct, enter 'OK' in the **Data Action** column.
- Change:** If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the **Data Action** column and the date when this information first became valid in the **Effective Date** column.
- Close:** If a branch listed was sold or closed, enter 'Close' in the **Data Action** column and the sale or closure date in the **Effective Date** column.
- Delete:** If a branch listed was never owned by this depository institution, enter 'Delete' in the **Data Action** column.
- Add:** If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the **Data Action** column and the opening or acquisition date in the **Effective Date** column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

Submission Procedure

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.
 If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

Note:

To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch schedules for each branch with a **Data Action** of **Change, Close, Delete, or Add**.
 The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <http://ps://y10online.federalreserve.gov>.

* FDIC UNINUM, Office Number, and ID_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_R	D*	Comments
ok		Full Service (Head Office)	68448	UNION NATIONAL BANK & TRUST COMPANY	124 WEST OAK STREET	SPARTA	WI	54656	MONROE	UNITED STATES	3590	0	UNION NATIONAL BANK & TRUST COMPANY		68448	
ok		Limited Service	2357986	AUTO BRANCH	1103 WEST WISCONSIN STREET	SPARTA	WI	54656	MONROE	UNITED STATES	207563	2	UNION NATIONAL BANK & TRUST COMPANY		68448	

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Sparta Union Bancshares, Inc.
 Sparta, Wisconsin
 Fiscal Year Ending December 31, 2015

Report Item 3: Securities Holders

Current Securities Holders with ownership, control or holdings of 5% or more with power to vote <u>as of</u> fiscal year ending 12-31-2015			Securities Holders not listed in 3(1)(a) through (3)(1)(c) that had ownership, control or holdings of 5% or more with power to vote <u>during</u> the fiscal year ending 12-31-2015		
(1)(a) Name & Address (City, State, Country)	(1)(b) Country of Citizenship or Incorporation	(1)(c) Number and Percentage of Each Class of Voting Securities	(2)(a) Name & Address (City, State, Country)	(2)(b) Country of Citizenship or Incorporation	(2)(c) Number and Percentage of Each Class of Voting Securities
Doris J Wall Sparta, WI, USA	USA	327 - 11.49% Common Stock	N/A		
Patricia Wall Sparta, WI, USA	USA	387 - 13.60% Common Stock			
John R Wall Residual Estate Sparta, WI, USA	USA	402 - 14.12% Common Stock			
Ronald Wall (including Karen - 32) Sparta, WI, USA	USA	211 - 7.41% Common Stock			
Kathryn Baird Sparta, WI, USA	USA	211 - 7.41% Common Stock			
Zel S & Babette Rice, Trustees Revocable Trust Sparta, WI, USA	USA	159 - 5.58% Common Stock			
Mark Wall Sparta, WI, USA	USA	144 - 5.06% Common Stock			

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Sparta Union Bancshares, Inc.
Sparta, Wisconsin
Fiscal Year Ending December 31, 2015

Report Item 4: Insiders
(1)(a)(b)(c) and (2)(a)(b)(c)

(1)	(2)	(3)(a)	(3)(b)	(3)(c)	(4)(a)	(4)(b)	(4)(c)
Names & Address (City, State, Country)	Principal Occupation if other than with Bank Holding Company	Title & Position with Bank Holding Company	Title & Position with Subsidiaries (include names of subsidiaries)	Title & Position with Other Businesses (include names of other businesses)	Percentage of Voting Securities in Bank Holding Company	Percentage of Voting Securities in Subsidiaries (include names of subsidiaries)	List names of other companies (includes partnerships) if 25% or more of voting securities are held (List names of companies and percentage of voting securities held)
John J Sund, Jr Sparta, WI, USA	Retired	Board Chairman President	Board Chairman - Union National Bank and Trust Co.	NONE	2.98%	NONE	NONE
Eugene Arenz Sparta, WI, USA	Retired	Director	Director - Union National Bank and Trust Co.	NONE	0.56%	NONE	NONE
Gary Edwards Sparta, WI, USA	Insurance Salesman	Director	Director - Union National Bank and Trust Co.	Owner - Edwards Insurance	0.42%	NONE	100% - Edwards Insurance
Randy Jarvis Sparta, WI, USA	Bank President	Director/Secretary	President - Union National Bank and Trust Co.	NONE	0.07%	NONE	NONE
Ronald Wall (including Karen) Sparta, WI, USA	Investments	Director	Director - Union National Bank and Trust Co.	NONE	7.41%**	NONE	NONE
Mark Wall La Crosse, WI, USA	HVAC Manufacture Technician	Director	Director - Union National Bank and Trust Co.	HVAC Manufacture Technician - XETEX	5.06%	NONE	NONE
Marianne Rice Sparta, WI, USA	Homemaker Landlord	Director	Director - Union National Bank and Trust Co.	Owner - Rentals	0.03%	NONE	100% - Rentals
Doris J Wall Sparta, WI, USA	N/A	N/A	N/A	N/A	11.49%**	NONE	N/A
Patricia Wall Sparta, WI, USA	N/A	N/A	N/A	N/A	13.60%**	NONE	N/A
John R Wall Residual Estate Sparta, WI, USA	N/A	N/A	N/A	N/A	14.12%**	NONE	N/A
Mark Wall Sparta, WI, USA	N/A	N/A	N/A	N/A	5.06%**	NONE	N/A
Kathym Baird Sparta, WI, USA	N/A	N/A	N/A	N/A	7.41%**	NONE	N/A

** Note: These individuals collectively own 59.09% of the Bank's voting stock as a family.