

Board of Governors of the Federal Reserve System



# Annual Report of Holding Companies—FR Y-6

## Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844 (c)(1)(A)); Section 8(a) of the International Banking Act (12 U.S.C. § 3106(a)); Sections 11(a)(1), 25 and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); Section 211.13(c) of Regulation K (12 C.F.R. § 211.13(c)); and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)) and section 10(c)(2)(H) of the Home Owners' Loan Act. Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies and top-tier savings and loan holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report.

Date of Report (top-tier holding company's fiscal year-end):

**December 31, 2015**

Month / Day / Year

N/A

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

**Tri-County Bancorp**

Legal Title of Holding Company

**18 East Washington Street / PO Box 218**

(Mailing Address of the Holding Company) Street / P.O. Box

**Roachdale**

**Indiana**



**46172**

City

State

Zip Code

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

**Megan Lawn**

**AVP**

Name

Title

**765-522-1000**

Area Code / Phone Number / Extension

**765-522-0517**

Area Code / FAX Number

**mlawn@tricitybank.net**

E-mail Address

**www.tricitybank.net**

Address (URL) for the Holding Company's web page

### I. Brent McColgin

Name of the Holding Company Director and Official

**President / CEO / Director**

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

*With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.*

Signature of Holding Company Director and Official

3-24-16

Date of Signature

For holding companies not registered with the SEC—

Indicate status of Annual Report to Shareholders:

- is included with the FR Y-6 report
- will be sent under separate cover
- is not prepared

For Federal Reserve Bank Use Only

RSSD ID

C.I.

1205286

Does the reporter request confidential treatment for any portion of this submission?

Yes Please identify the report items to which this request applies:

- In accordance with the instructions on pages GEN-2 and 3, a letter justifying the request is being provided.
- The information for which confidential treatment is sought is being submitted separately labeled "Confidential."

No

REPORT ITEM #1: Tri-County Bancorp is not required to File Form 10K with The SEC.

#1: Tri-County Bancorp does prepare an annual report for its Shareholders. Enclosed are (2) two copies of the report.

REPORT ITEM #2a

### ORGANIZATION CHART

Tri-County  
Bancorp

18 E. Washington St.  
Roachdale, IN 46172

Tri-County  
Bank & Trust  
Company

100% Ownership and Control by Tri-County Bancorp  
18 E. Washington St.  
Roachdale, IN 46172

REPORT ITEM #2b: Filed on line

REPORT ITEM #3

(1) Shareholders that directly or indirectly hold the power to vote five (5%) percent or more of the Bank Holding Company's shares:

NAME/ADDRESS	CITIZENSHIP	NUMBER OF SHARES	PERCENTAGE
David E. Grimes Crawfordsville, IN 47933	USA	51895*	13.159%

(2) None.

\*This amount includes 48,287 shares owned jointly by Mr. Grimes and his wife, Dorothy S. Grimes, 600 shares owned by Russellville Feed & Grain, Inc., and 3,008 shares owned solely by Mr. Grimes.

REPORT ITEM #4 – (See Attached Reports)

Confirmation By: \_\_\_\_\_  
H. Brent McColgin, President & CEO

\*All entities do not have LEI

\*State of incorporations for all entities: IN

Results: A list of branches for your holding company: TRI-COUNTY BANCORP. (1205286) of ROACHDALE, IN.  
 The data are as of 12/31/2015. Data reflects information that was received and processed through 07/11/2016.

**Reconciliation and Verification Steps**

1. In the Data Action column of each branch row, enter one or more of the actions specified below
2. If required, enter the date in the Effective Date column

**Actions**

- OK:** If the branch information is correct, enter 'OK' in the Data Action column.
- Change:** If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the Data Action column and the date when this information first became valid in the Effective Date column.
- Close:** If a branch listed was sold or closed, enter 'Close' in the Data Action column and the sale or closure date in the Effective Date column.
- Delete:** If a branch listed was never owned by this depository institution, enter 'Delete' in the Data Action column.
- Add:** If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

**Submission Procedure**

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.  
 If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

**Note:**

To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of Change, Close, Delete, or Add.  
 The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

\* FDIC UNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_RSSD*	Comments
ok		Full Service (Head Office)	914545	TRI-COUNTY BANK & TRUST COMPANY	18 EAST WASHINGTON STREET	ROACHDALE	IN	46172	PUTNAM	UNITED STATES	165	0	TRI-COUNTY BANK & TRUST COMPANY	914545	
ok		Full Service	2100276	BAINBRIDGE BRANCH	203 EAST US HWY 36 EAST	BAINBRIDGE	IN	46105	PUTNAM	UNITED STATES	181357	1	TRI-COUNTY BANK & TRUST COMPANY	914545	
ok		Full Service	2418739	MAIN STREET BRANCH	224 EAST MAIN STREET	CRAWFORDSVILLE	IN	47933	MONTGOMERY	UNITED STATES	181360	4	TRI-COUNTY BANK & TRUST COMPANY	914545	
ok		Full Service	2100883	SOUTH BOULEVARD BRANCH	101 EAST SOUTH BOULEVARD	CRAWFORDSVILLE	IN	47933	MONTGOMERY	UNITED STATES	181359	3	TRI-COUNTY BANK & TRUST COMPANY	914545	
ok		Full Service	2099277	RUSSELLVILLE BRANCH	220 SOUTH HARRISON STREET	RUSSELLVILLE	IN	46175	PUTNAM	UNITED STATES	181358	2	TRI-COUNTY BANK & TRUST COMPANY	914545	

Form FR Y-6  
Tri-County Bancorp  
Roachdale, IN  
Fiscal year ending December 31, 2015

Report Item 4: Insiders

(1) (2) (3)(a)(b) and (4)(a)(b)

(1) Names & Address (City, State, Country)	(2) Principal Occupation if other than with Bank Holding Company	(3)(a) Title & Position with Bank Holding Company	(3)(b) Title & Position with Subsidiaries (Include names of subsidiaries)	(3)(c) Title & Position with Other Businesses (Include names of other businesses)	(4)(a) Percentage of Voting Shares in Bank Holding Company	(4)(b) Percentage of Voting Shares in Subsidiaries (Include names of subsidiaries)	(4)(c) List names of other companies (includes partnerships) if 25% or more of voting securities are held (List names of companies and percentage of voting securities held)
David E. Grimes Crawfordsville, IN USA	50% Ownership Russellville Feed & Grain	Chairman of the Board of Directors	Chairman of the Board of Directors	None	13.159%	None	50% Ownership of Russellville Feed & Grain
Josef Hess Bainbridge, IN USA	Retired	Director	Director	None	3.043%	None	None
H. Brent McColgin Bainbridge, IN USA	None	President, CEO, & Director	President, CEO, Trust Officer, & Director	None	3.971%	None	None
Kirtley D. Ramsay Rockville, IN USA	Farmer	Director	Director	None	0.524%	None	None
Joseph E. Crosby Roachdale, IN USA	Farmer	Director	Director	None	0.671%	None	None
Rodger Winger Coatesville, IN USA	None	None	Vice President & Senior Loan Officer	None	0.585%	None	None
Virginia Weninger Ladoga, IN USA	Retired	Director	Director	None	0.405%	None	None