U.S. Top Tier Bank Holding Companies (BHCs) as of June 30, 2013

Total Assets and Number of Institutions by Federal Reserve District

<table>
<thead>
<tr>
<th>U.S. Top Tier BHCs</th>
<th>Total Assets*</th>
<th>District</th>
</tr>
</thead>
<tbody>
<tr>
<td>JPMorgan Chase &amp; Co.</td>
<td>$5,243</td>
<td>15% New York</td>
</tr>
<tr>
<td>Bank of America Corporation</td>
<td>2,126</td>
<td>13 Richmond</td>
</tr>
<tr>
<td>Citigroup Inc.</td>
<td>1,884</td>
<td>11 New York</td>
</tr>
<tr>
<td>Wells Fargo &amp; Company</td>
<td>1,441</td>
<td>9 San Francisco</td>
</tr>
<tr>
<td>The Goldman Sachs Group, Inc.</td>
<td>939</td>
<td>6 New York</td>
</tr>
<tr>
<td><strong>Top 5 Subtotal</strong></td>
<td>8,828</td>
<td><strong>53</strong></td>
</tr>
<tr>
<td>All Other U.S. BHCs</td>
<td>7,897</td>
<td>47</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>16,725</strong></td>
<td><strong>100</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Seventh District Top Tier BHCs</th>
<th>Total Assets*</th>
<th>U.S. Rank</th>
</tr>
</thead>
<tbody>
<tr>
<td>HSBC North America Holdings Inc.</td>
<td>532</td>
<td>29%</td>
</tr>
<tr>
<td>Ally Financial Inc.</td>
<td>151</td>
<td>13</td>
</tr>
<tr>
<td>BMO Financial Corp.</td>
<td>112</td>
<td>10</td>
</tr>
<tr>
<td>Northern Trust Corporation</td>
<td>97</td>
<td>9</td>
</tr>
<tr>
<td>Discover Financial Services</td>
<td>75</td>
<td>7</td>
</tr>
<tr>
<td><strong>Top 5 Subtotal</strong></td>
<td>757</td>
<td><strong>67</strong></td>
</tr>
<tr>
<td>All Other Seventh District BHCs</td>
<td>372</td>
<td>33</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>1,129</strong></td>
<td><strong>100</strong></td>
</tr>
</tbody>
</table>

Total Consolidated Assets: $16,725 Total No. of Institutions: 4,553

Source of Data: FR Y-9C Consolidated Financial Statements for Bank Holding Companies
FR Y-9SP Parent Company Only Financial Statements for Small Bank Holding Companies

*Total Assets in $Billions; data generated September 23, 2013
Components may not add to totals due to rounding
U.S. Top Tier Savings and Loan Holding Companies (SLHCs) as of June 30, 2013

Total Assets and Number of Institutions by Federal Reserve District

- **Total Assets in $Billions**
- **No. of Institutions**

### Total Assets of U.S. Top Tier SLHCs

- American International Group: $537, 19%
- General Electric Company: $529, 19%
- TIAA: $243, 9%
- Principal Financial: $197, 7%
- Nationwide: $172, 6%
- Other: $1,095, 39%

Top 5 Subtotal: $1,678, 61%

Total: $2,773, 100%

### Total Assets of Seventh District Top Tier SLHCs

- Principal Financial: $197, 48%
- State Farm: $120, 29%
- John Deere: $31, 7%
- Modern Woodmen of America: $13, 3%
- Flagstar: $13, 3%
- Other: $373, 90%

Top 5 Subtotal: $373, 90%

Total: $412, 100%

Source of Data: FR Y-9C Consolidated Financial Statements for Bank Holding Companies
FR Y-9SP Parent Company Only Financial Statements for Small Bank Holding Companies
FR 2320 Quarterly Savings and Loan Holding Company Report

*Total Assets in $Billions; data generated September 23, 2013
Components may not add to totals due to rounding
SLHC data may include parent only figures
U.S. Banks as of June 30, 2013

Total Assets and Number of Institutions by Federal Reserve District

<table>
<thead>
<tr>
<th>District</th>
<th>Total Assets in $Billions</th>
<th>No. of Institutions</th>
</tr>
</thead>
<tbody>
<tr>
<td>New York</td>
<td>4,293</td>
<td>1,050</td>
</tr>
<tr>
<td>Philadelphia</td>
<td>1,285</td>
<td>600</td>
</tr>
<tr>
<td>Cleveland</td>
<td>1,219</td>
<td>2,000</td>
</tr>
<tr>
<td>Richmond</td>
<td>1,149</td>
<td>1,000</td>
</tr>
<tr>
<td>New York</td>
<td>997</td>
<td>2,000</td>
</tr>
<tr>
<td>San Francisco</td>
<td>980</td>
<td>500</td>
</tr>
<tr>
<td>Cleveland</td>
<td>870</td>
<td>1,000</td>
</tr>
<tr>
<td>St Louis</td>
<td>740</td>
<td>1,000</td>
</tr>
<tr>
<td>Minneapolis</td>
<td>610</td>
<td>1,000</td>
</tr>
<tr>
<td>Kansas City</td>
<td>480</td>
<td>1,000</td>
</tr>
<tr>
<td>Dallas</td>
<td>420</td>
<td>1,000</td>
</tr>
<tr>
<td>San Francisco</td>
<td>390</td>
<td>500</td>
</tr>
<tr>
<td>Cleveland</td>
<td>349</td>
<td>3</td>
</tr>
</tbody>
</table>

Total Consolidated Assets: $13,401
Total No. of Institutions: 6,220

Source of Data: FFIEC 031 Consolidated Reports of Condition and Income for a Bank with Domestic and Foreign Offices
FFIEC 041 Consolidated Reports of Condition and Income for a Bank with Domestic Offices Only

Components may not add to totals due to rounding
U.S. State Member Banks (SMBs) as of June 30, 2013

Total Assets and Number of Institutions by Federal Reserve District

<table>
<thead>
<tr>
<th>Federal Reserve District</th>
<th>Total Assets in $Billions</th>
<th>No. of Institutions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bos</td>
<td>NY</td>
<td>Phil</td>
</tr>
<tr>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
</tbody>
</table>

Total Consolidated Assets: $1,963  Total No. of Institutions: 848

Source of Data: FFIEC 031 Consolidated Reports of Condition and Income for a Bank with Domestic and Foreign Offices
FFIEC 041 Consolidated Reports of Condition and Income for a Bank with Domestic Offices Only

Components may not add to totals due to rounding
Trends in U.S. and Seventh District Institutions as of June 30, 2013

Total Assets and Number of Institutions by Entity Type

Current Total Assets in $Billions

- **U.S.**
  - **BHCs:** $12,000
  - **SLHCs:** $8,000
  - **Banks:** $12,000
  - **SMBs:** $6,000

- **7th District**
  - **BHCs:** $6,000
  - **SLHCs:** $4,000
  - **Banks:** $6,000
  - **SMBs:** $3,000

Current Number of Institutions

- **U.S.**
  - **BHCs:** 800
  - **SLHCs:** 400
  - **Banks:** 1,200
  - **SMBs:** 800

- **7th District**
  - **BHCs:** 400
  - **SLHCs:** 200
  - **Banks:** 1,200
  - **SMBs:** 400

Total Assets by Entity Type

- **U.S.**
  - **BHCs:** \(\Delta -2.4\%\)
  - **SLHCs:** \(\Delta -26.1\%\)
  - **Banks:** \(\Delta -3.4\%\)
  - **SMBs:** \(\Delta -2.7\%\)

- **7th District**
  - **BHCs:** \(\Delta -16\%\)
  - **SLHCs:** \(\Delta -44.6\%\)
  - **Banks:** \(\Delta -3.0\%\)
  - **SMBs:** \(\Delta -2.3\%\)

Number of Institutions by Entity Type

- **U.S.**
  - **BHCs:** \(\Delta -2.7\%\)
  - **SLHCs:** \(\Delta -8.4\%\)
  - **Banks:** \(\Delta -2.0\%\)
  - **SMBs:** \(\Delta -3.9\%\)

- **7th District**
  - **BHCs:** \(\Delta -2.3\%\)
  - **SLHCs:** \(\Delta -8.3\%\)
  - **Banks:** \(\Delta -3.4\%\)
  - **SMBs:** \(\Delta -2.0\%\)

- **Source of Data:** As previously mentioned, the FFIEC 031, FFIEC 041, FR Y-9C, FR Y-9SP, FR 2320 reports

*Total Assets in $Billions; data generated September 23, 2013